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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,028	05/24/2001	Andrew M. Weiner	12818-003001

**CONFIRMATION NO. 2898**

**FORMALITIES LETTER**



\*OC00000006343285\*

ERIC L. PRAHL  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 07/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2001 MBELETE1 00000014 09865028

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Attorney's Docket No.: 12818-003001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew M. Weiner

Art Unit : Unknown

Serial No. : 09/865,028

Examiner : Unknown

Filed : May 24, 2001

Title : METHODS AND SYSTEMS FOR POLARIZATION CONTROL AND  
POLARIZATION MODE DISPERSION COMPENSATION FOR WIDEBAND  
OPTICAL SIGNALS

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed July 26, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Laura Murphy

Date of Deposit

Laura Murphy

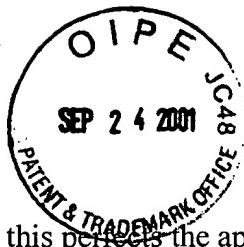
Signature

Laura Murphy

Typed or Printed Name of Person Signing Certificate

Applicant : Andrew M. Weiner  
Serial No. : 09/865,028  
Filed : May 24, 2001  
Page : 2

Attorney's Docket No.: 12818-003001



It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Marc M. Wefers\* for  
Respectfully submitted,

Date: 9/17/01

Eric L. Prahl  
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\*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. § 10.9(b).

20316168.doc



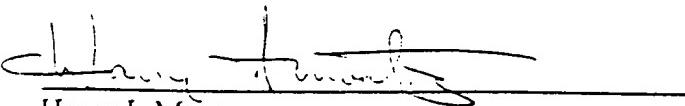
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
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LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Marc M. Wefers is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Fish & Richardson P.C., to prepare and prosecute patent applications wherein the patent applicant is the client of Fish & Richardson P.C. and the attorney or agent of record in the applications is a registered practitioner who is a member of Fish & Richardson P.C. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Marc M. Wefers ceases to lawfully reside in the United States, (ii) Marc M. Wefers' employment with Fish & Richardson P.C. ceases or is terminated, or (iii) Marc M. Wefers ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 22, 2001

  
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Harry I. Moatz

Director of Enrollment and Discipline